



Quarterly Report Narrative

July 01, 2016 to September 30, 2016

I. Executive Summary

Organization: <u>American Red Cross</u>	Date: <u>November 10, 2016</u>
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Program Title:	<u>Prototyping an Urban Community Resilience Initiative in SE Asia</u>
Cooperative Agreement No.:	<u>AID-OFDA-A-15-00053</u>
Country(ies)/Region(s):	<u>Indonesia (with Vanuatu proposed as 2nd pilot country in pending mod)</u>
Sector:	<u>Risk Management Policy and Practice</u>
Reporting Period:	<u>July 01, 2016</u> To: <u>September 30, 2016</u>

Project Background:

The American Red Cross (ARC) and the Global Disaster Preparedness Center (GDPC) are working with Red Cross / Red Crescent (RC/RC) National Societies in Indonesia, Myanmar, Vanuatu and Viet Nam to design and test a set of tools and services to assist RC/RC National Societies and their partners to create effective city coalitions on community resilience, targeting climate smart resilience and coastal risk reduction in particular.

The project is being piloted initially in Indonesia, with Vanuatu proposed as a 2nd pilot country in a pending modification, with roll-out to coastal cities in a wider set of countries planned for Phase 2 of the project.

The activities and expected results of the project focus on one primary sector and two associated subsectors:

- Sector: Risk Management Policy and Practice
 - Subsector 1: Building Community Awareness/Mobilization
 - Design and test a model for civic coalitions to guide and support community analysis, problem-solving, and decision-making on coastal resilience
 - Subsector 2: Public-Private Partnerships
 - Strengthen Public-Private Partnerships to enhance public engagement and investment in disaster preparedness and resilience in coastal cities

Building whenever possible on existing approaches, the project is focusing on developing bundles of relevant tools and resources and a model city-wide civic process to build local coalitions to guide and foster work at the community level and tap the wide range of resources available in cities. This coalition-building process draws on the convening power of the RC/RC, but also engages a wider set of interested stakeholders from local government, other civil society organizations, the private sector, academia, and community volunteers to identify and pursue locally developed solutions for resilience and climate change adaptation. The RC/RC is also facilitating coordination between these local coalitions and relevant national climate and disaster management related focal points and regional institutions to ensure local solutions are considered in the national and international level planning processes.

After an initial set of regional inception activities, the project is prototyping the tools and approaches in selected coastal cities in the first pilot country and will focus in particular on addressing climate-related risks, such as changing precipitation patterns, increased coastal flooding and storm surge, and sea level rise. Based on lessons from the initial prototyping, the project will expand to include additional coastal cities in the pilot and regionally in Phase 2.

Summary of Activities This Quarter:

Following the selection of the two pilot countries – Indonesia and Vanuatu (participation of Vanuatu as a pilot country is pending approval of a planned modification that has been discussed with USAID/OFDA staff overseeing the project), visits were made to both countries to advance the project planning and implementation.

The Regional Project Coordinator made visits to Indonesia in August and September to work with the teams there to brief on the project elicit inputs and feedback to contextualize the project in Indonesia; create necessary project management documents (project document, budget, work plans etc.) for country level implementation; and identify potential strategic partners and links with existing American Red Cross and IFRC resilience programs. The meetings identified the cities - Semarang and Ternate, where the project will be implemented.

A visit to Vanuatu was also made in September by the Regional Project Coordinator to meet with the National Society, IFRC and other Partner National Societies (Australian and French) to brief of the project with these partners, discuss and plan for country level activities, and to identify additional potential stakeholders within the Red Cross Red Crescent Movement and external partners. During this visit, it was decided to implement the project in the city of Luganville.

Invitations were also extended to the RC/RC National Societies of Myanmar, the Philippines, and Viet Nam to participate as regional peer learning countries in the project. The National Societies in Myanmar and Viet Nam have accepted this engagement strategy. A concept note for the Peer Country Learning Countries was developed with the participation of the ARC Country offices in Viet Nam and Myanmar.

The Regional Project Coordinator held a series of outreach meetings and communication with

- the regional office of the United Nation's Office for Disaster Reduction (UN-ISDR) at Bangkok and Incheon,
- RCRC Climate Centre
- IFRC Regional Office at Kuala Lumpur and Country Cluster Office at Suva.

The Regional Project Coordinator and Indonesian Red Cross (PMI) representatives shared about the project at the Asia Pacific Regional Disaster Management Conference (22nd – 23rd September) that was organized by the IFRC Asia Pacific Region.

Requests for Proposals (RFP) were issued for two consultancies to develop two key elements of the project, namely, (1) development of an approach, toolkit and training package for conducting a City-Wide Assessment for Community Resilience and the (2) the development of an approach, toolkit and training package for Coalition-building to support civic engagement in the project. A peer group comprising interested Red Cross Red Crescent Societies and external partners was also formed to provide inputs and inform the consultancies. The consultancy for Developing a City-Wide Assessment for Community Resilience was awarded to ISET (<http://i-s-e-t.org>) after the review of 18 proposals submitted for the RFP. The consultancy on coalition building received four proposals and final selection is still pending.

Additional meetings were held with the Partnering Initiative and the Rockefeller Foundation on potential collaboration on the project.

SECTOR: Risk Management Policy and Practice

Objective: Design and test a model for civic coalitions to guide and support community analysis, problem-solving, and decision-making on resilience.

Update: *As of the end of September 2016, project activities have focused on the initiation of preliminary project activities the pilot country(ies) for Phase 1 of the project. Reporting against indicators will need to wait until project activities start with city stakeholders in the first pilot country(ies).*

Sub-sector: Building Community Awareness/Mobilization

Indicator #1: Coverage rates for campaign activities in each city.

Current Measure: N/A; project still in initiation phase and campaign activities have not yet been conducted.

Indicator #2: Number of city-wide campaigns to promote community resilience.

Current Measure: N/A; project still in initiation phase and campaigns have not yet been conducted.

Indicator #3: Number of community resilience coalitions established or strengthened.

Current Measure: N/A; project still in initiation phase and coalitions have not yet been established.

Indicator #4: Number of people participating in training, by gender.

Current Measure: N/A; project still in initiation phase and trainings have not yet been conducted.

Indicator #5: Percentage of people trained who retain skills and knowledge after two months (and six months).

Current Measure: N/A; project still in initiation phase and trainings have not yet been conducted.

Indicator #6: Percentage of attendees at joint planning meetings who are from the local community.

Current Measure: N/A; project still in initiation phase and planning meetings have not yet been conducted.

Indicator #7: Number of stakeholders with increased capacity to adapt to the impacts of climate change as a result of USG assistance, disaggregated by a) Implementing risk-reducing practices/actions to improve resilience to climate change, b) Using climate information in their decision making, and c) With increased knowledge of climate change impacts and response options.

Current Measure: N/A; project still in initiation phase and local project activities have not yet been conducted.

Sub-sector: Public-Private Partnerships

Indicator #1: Number of private sector businesses engaged in response or DRR-related activities as a result of the program.

Current Measure: N/A; project still in initiation phase.

Indicator #2: Targeted total number of individuals indirectly benefiting from DRR-related activities as a result of the program.

Current Measure: N/A; project still in initiation phase.

Indicator #3: Percentage of businesses in the target business category in the program area incorporated into project activities.

Current Measure: N/A; project still in initiation phase.

Indicator #4: Percentage of government disaster contingency plans that incorporate private-sector aspects.

Current Measure: N/A; project still in initiation phase.

Resources:

Budget for Objective 1 Q3-NTR: \$ 111,381.68 **Expended This Period:** \$ 38,129.00

Cumulative Expenditures to Date: \$ 133,445.04 **Balance in this Quarter:** \$ 73,252.68

Variance during this quarter was mainly attributed to i) longer than anticipated time necessary to finalize project agreement with PMI and initiate city level activities in Indonesia, ii) pending approval of modification to include Vanuatu as 2nd pilot country which has delayed initiation of country level activities in Vanuatu, and iii) delays in consultancies for city risk assessment and coalition building toolkits and courses due to earlier delays in tender process highlighted in previous quarterly report.

II. Program Overview

Goal: To enhance disaster preparedness and resilience in coastal cities in two countries in Southeast Asia and the Pacific – especially in response to the risks associated with climate change – through improved public engagement on resilience. The project will do this by helping the participating coastal cities to identify and address their top risks to community resilience and by strengthening household resilience city- and township-wide.

Result 1: Stakeholders in coastal cities have easy access to tools and guidance to help them form civic coalitions to guide and support community analysis, problem-solving, and decision-making on coastal resilience

Result 2: Public-Private Partnerships to advance civic engagement on coastal resilience are significantly strengthened in coastal cities.

Needs: Communities worldwide have greater opportunities for growth and connectedness than ever before; yet the number of people exposed to hazards, shocks, and stresses is rapidly increasing, especially in coastal cities, leading to increased fragility and vulnerability. At the same time, people living in cities are themselves agents of change and have significant resources, skills, and capacities to bring to resilience efforts in their own communities and across their cities and districts.

Numerous community organizations do significant humanitarian and development work in vulnerable neighborhoods, and this is helping to build social capital and local capacity. However, they are not able to address the full range of needs related to resilience and are also frequently unable to relay unaddressed concerns – including many related to disaster risks – to corresponding municipal or national authorities, or to other potential partners.

To strengthen their resilience in the face of climate change, cities need an enhanced level of civic engagement that draws on the strength and growing diversity of urban communities and that can effectively complement formal governance structures by engaging a wider set of stakeholders to focus on resilience at the community and household level. Combined with the community-based, neighborhood approaches that the RC/RC and community organizations have long invested in, this type of civic engagement in urban settings will provide a bottom-up push to accelerate local risk-sensitive decision-making and influence development, governance and investment for effective community resilience outcomes. This civic engagement would also provide a platform for community organizations to connect with existing urban resilience campaigns such as the UN-ISDR Making Cities Resilient campaign and the Rockefeller Foundation's 100 Resilient Cities challenge.

Targeted Population:

- 250,000 people in Phase 1 in and 250,000 people in Phase 2. This includes 25,000 people/households in each of 20 cities/townships (10 in the first pilot country and 10 more total in up to 2 other countries in Southeast Asia) as part of city-wide campaigns to promote community safety and resilience.
- Creation of a community resilience coalition with a diverse range of partner organizations in each city.
- Creation of a set of support services to support the work of the coalitions.

Stakeholders:

- Red Cross national societies in Indonesia, with Vanuatu proposed as 2nd pilot country in a pending modification: Lead implementing agencies
- Myanmar and Viet Nam: Regional peer learning countries
- Red Cross Red Crescent Climate Centre: Providing technical assistance in analysis and application of climate change scenarios and adaptation solutions
- The Nature Conservancy (pending approval of planned modification): Providing technical assistance in adaptation of existing model and data for ecosystems resilience in coastal areas
- ARC: Provide funding and technical assistance
- IFRC: Provide technical input as appropriate to complement and link with ongoing climate change adaptation and disaster risk reduction programs in Indonesia, Vanuatu, and other participating countries.

III. Program Performance

Actual Accomplishments (compared to stated objectives, indicators, and targets):

During the quarter, the Prototyping an Urban Community Resilience Initiative in SE Asia project has achieved the following:

Summary of Activities This Quarter:

- Following the selection of the two pilot countries – Indonesia and Vanuatu (participation of Vanuatu as a pilot country is pending approval of a planned modification that has been discussed with USAID/OFDA staff overseeing the project), visits were made to both countries during this reporting period to continue further discussions with the National Societies, American Red Cross (ARC) Country Office (in Indonesia) and the IFRC.
- The Regional Project Coordinator made visits to Indonesia in August and September to work with the teams there to brief on the project, elicit inputs and feedback to contextualize the project in Indonesia and come up with necessary project management documents (project document, budget, work plans etc.). The visits also included the identification of strategic partners which the project can draw upon and of opportunities to link the project with existing American Red Cross and IFRC resilience programs. The meetings also identified Semarang and Ternate as the cities where the project would be implemented. (These cities are also priority cities for at least one other USAID project on urban resilience supported through the University of Hawaii at Manoa.)
- A visit to Vanuatu in September was also made by the Regional Project Coordinator to meet with the National Society, IFRC and other Partner National Societies (Australian, French) to brief on the project with these partners, discuss and plan for country level activities, and to identify additional stakeholder within the Red Cross Red Crescent Movement and external partners. Meetings were held with the National Disaster Management Council, Climate Change Commission, Humanitarian Country team and the Ministry of Land and Natural Resources. It was also decided to implement the project in the city of Luganville.

- Invitations were also extended to the RC/RC National Societies of Myanmar, the Philippines, and Viet Nam to participate as regional peer learning countries in the project. The National Societies in Myanmar and Viet Nam have accepted so far; while the Philippines Red Cross has not yet responded back on the invitation. A concept note for the Peer Country Learning Countries was developed with the participation of the ARC Country offices in Viet Nam and Myanmar.
- The regional project coordinator held a series of outreach meetings and communication with
 - the regional office of the United Nation's Office for Disaster Reduction (UN-ISDR) at Bangkok and Incheon,
 - RCRC Climate Centre
 - IFRC Regional Office at Kuala Lumpur and Country Cluster Office at Suva.
- The Regional Project Coordinator shared about the project at the Asia Pacific Regional Disaster Management Conference (22nd – 23rd September) that was organized by the IFRC Asia Pacific Region. In addition to this, the Indonesian Red Cross (PMI) representative at the meeting made a presentation on their urban work which included this project.
- Requests for Proposals (RFPs) were issued for two consultancies to develop two key elements of the project, namely, (1) development of an approach, toolkit and training package for conducting a City-Wide Assessment for Community Resilience and the (2) development of an approach, toolkit and training package for Coalition building to support civic engagement in the project. In conjunction with these RFP, a peer group was formed comprising interested Red Cross Red Crescent Societies and external partners to provide inputs and inform these processes. For the consultancy for Developing a City-Wide Assessment for Community Resilience, 18 applications were received and reviewed and ISET (<http://i-s-e-t.org>) was selected for the consultancy. The consultant had initial discussions with the GDPC Project Manager and Regional Coordinator. For the consultancy on coalition building, four applications were received and the final decision is still in process due to the different strengths and limitations of the applicants.

The GDPC project manager met with representatives of

- the Partnering Initiative to discuss collaboration on the development of the toolkit and training course on coalition-building that is to be developed under the project.
- the Rockefeller Foundation to explore opportunities to link the toolkits and trainings being developed in the project to the Resilience Academy that the Rockefeller Foundation has supported in 20 cities in the U.S. and is now looking to roll-out globally.

Staffing: Recruitment for additional staff support for the project to be based in the ARC Country Office in Jakarta was initiated in August and the person will join the team in November, 14th 2016.

Reasons why established targets were not met, if applicable, and how it will impact the objective.

Progress at the country level on the project has slowed due to the need to negotiate project agreements (between ARC NHQ and NS) to be signed off prior to initiating country activities. The process has started and is expected to be completed for Indonesia in November 2016. The project agreement for Vanuatu has been drafted but approval is pending final approval by USAID-OFDA of the modification to the project submitted in August 2016.

Pending approval of the planned modification has also slowed other aspects of the project implementation process for Vanuatu.

Progress in July was also slowed by the Muslim holidays in Indonesia as most of the staff were away.

In parallel, the project team initiated the tool kit development (city wide assessment and coalition building) which will contribute to the project as the country level implementation progresses. Meetings and coordination with other prospective technical and resource/support agencies are being held to ensure that they complement the process in a timely manner.

Work Plan for Next Quarter:

Activity	October				November				December				
	W1	W2	W3	W4	W1	W2	W3	W4	W1	W2	W3	W4	W5
Finalize sub-grant agreements with National Societies in 2 pilot countries	x	x	x	x	x	x	x	x					
Visit Indonesia for meeting with consultant for the city-wide assessment				x	x								
Indonesia country and city level planning workshops							x	x	x				
Vanuatu country level workshops										x	x		
Create toolkit and learning course on City-wide Risk Assessment	x	x	x	x	x	x	x	x	x	x	x	x	x
Create toolkit and learning course on Coalition-building and Local Campaigns	x	x	x	x	x	x	x	x	x	x	x	x	x
Initiate coalitions at city level (in Indonesia)							x	x	x	x			
Conduct baseline surveys / polls									x	x	x	x	x
Conduct urban profiling and collection of relevant secondary data (in Indonesia)							x	x	x	x	x		

Comments: During the upcoming quarter, major activities will focus on launching of the project in the pilot cities in both Indonesia and Vanuatu. This would set in motion the implementation of the baseline survey at city level. The development process for the city risk assessment and coalition-building toolkit and training course components of the project and partnership development with national and city level organizations would also continue. Mapping and meeting of strategic stakeholders will also be conducted to identify opportunities and ways to engage them into this project.

Success Stories:

The GDPC team has been able to reach out to several organizations beyond the Red Cross Red Crescent Movement to enrich the project design and implementation process.

In addition, the GDPC has worked closely with The Nature Conservancy (TNC) to outline a role for TNC in the project. TNC will adapt portions of their existing coastal resilience data decision-support applications for use in the cities participating in the project.

Programmatic Changes:

Otherwise there were no unforeseen circumstances affecting overall program performance compared to original assumptions during this quarter. No changes have been made to activities as a result.

Explanation of Any Unexpected Financial Deviations:

The financial report currently shows an under-spend due to delays in the certain project activities as outlined above.

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